

VERIFIED STATEMENT CLAIMING  
SMALL ENTITY STATUS  
(SMALL BUSINESS CONCERN)

Attorney Docket No.

N19.12-0016

Inventor(s): HARIKLA Sujeet Kumar, Haroula Dris Reitz, and Xiangxin Bi, and Nobuyuki Kambe

Title: ALUMINUM OXIDE PARTICLES

With respect to the invention described in

- ☒ the application filed herewith:  
\_\_\_ application Serial No. \_\_, filed \_\_:  
\_\_\_ Patent No. \_\_, issued \_\_:

I. IDENTIFICATION OF DECLARANT AND ANY RIGHTS AS A SMALL ENTITY

I am:

- \_\_\_ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN

NanoGram Corporation

ADDRESS OF CONCERN

46774 Lakeview Boulevard, Fremont, California 94538

The above-identified small business concern qualifies as a small business concern as defined in 13 CFR § 121.12, and reproduced in 37 CFR § 1.9(d), for purposes of paying reduced fees under 35 USC §§ 41(a) and (b).

II. OWNERSHIP OF INVENTION BY DECLARANT

Rights under contract or law remain with or have been conveyed to the above-identified concern. If the rights held are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person who could not be classified as (1) an independent inventor under 37 CFR § 1.9(c) if that person had made the invention, (2) a small business concern under 37 CFR § 1.9(d) or (3) a non-profit organization under 37 CFR § 1.9(e).

- ☒ There is no such person, concern, or organization.  
\_\_\_ The person, concerns or organizations are listed below:

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

- ☐ Individual  
☐ Small Business Concern  
☐ Non-Profit Organization

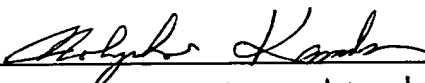
III. ACKNOWLEDGEMENT OF DUTY TO NOTIFY PTO OF STATUS CHANGE

I acknowledge the duty to file, in this application or patent, notification of any change resulting in loss of entitlement to small entity status pursuant to 37 CFR § 1.28(b).

IV. DECLARATION

All statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

V. SIGNATURES

SIGNATURE  Date: 8/18/98  
NAME OF PERSON SIGNING Nobuyuki Kambe  
TITLE OF PERSON Vice President, Market Development  
ADDRESS OF PERSON SIGNING 46774 Lakeview Boulevard  
Fremont, CA 94538

COMBINED DECLARATION AND  
POWER OF ATTORNEY  
IN ORIGINAL APPLICATION

Attorney Docket No.

N19.12-0016

SPECIFICATION AND INVENTORSHIP IDENTIFICATION

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed, and for which a patent is sought, on the invention entitled ALUMINUM OXIDE PARTICLES the specification of which,

(check one) ☒ is attached hereto.  
☐ was filed on as Appln. Serial No. .  
☐ and was amended on .  
☐ was described and claimed in PCT International Application  
No. filed on and as amended under PCT Article  
19 on .

ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is known to me to be material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

PRIORITY CLAIM (35 USC § 119)

I claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Number	Country	Day/Month/Year Filed	Priority Claimed
_____	_____	_____	Yes____ No____
_____	_____	_____	Yes____ No____

PRIORITY CLAIM (35 USC § 120)

I claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below. Insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35 United States Code § 112, I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in Title 37 Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Appln. Ser. No.	U.S. Serial No. (if any under PCT)	Filing Date	Status
_____	_____	_____	_____
_____	_____	_____	_____

**DECLARATION**

I declare that all statements made herein that are of my own knowledge are true and that all statements that are made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY**

I appoint the following attorneys and agents to prosecute the patent application identified above and to transact all business in the Patent and Trademark Office connected therewith, including full power of association, substitution and revocation: Judson K. Champlin, Reg. No. 34,797; Joseph R. Kelly, Reg. No. 34,847; Nickolas E. Westman, Reg. No. 20,147; Steven M. Koehler, Reg. No. 36,188; David D. Brush, Reg. No. 34,557; John D. Veldhuis-Kroeze, Reg. No. 38,354; Deirdre Megley Kvale, Reg. No. 35,612; Theodore M. Magee, Reg. No. 39,758; and Peter S. Dardi, Reg. No. 39,650.

I ratify all prior actions taken by Westman, Champlin & Kelly, P.A. or the attorneys and agents mentioned above in connection with the prosecution of the above-mentioned patent application.

**DESIGNATION OF CORRESPONDENCE ADDRESS**

Please address all correspondence and telephone calls to Peter S. Dardi in care of:

WESTMAN, CHAMPLIN & KELLY, P.A.  
Suite 1600 - International Centre  
900 Second Avenue South  
Minneapolis, Minnesota 55402-3319  
Phone: (612) 334-3222 Fax: (612) 334-3312

Inventor: Sujeet Kumar  
(Signature)

Date: 2/12/98

Inventor: Sujeet Kumar  
(Printed Name)

Residence: Fremont, California

Citizenship: India

P.O. Address: 39800 Fremont Boulevard #206, Fremont, California 94538

Inventor:   
(Signature)

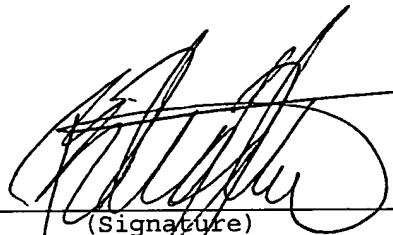
Date: 8-18-98

Inventor: Hariklia  
Haroula Dris Reitz  
(Printed Name)

Residence: Santa Clara, California

Citizenship: USA

P.O. Address: 2147 Newhall Street #212, Santa Clara, CA 95050

Inventor:   
(Signature)

Date: 8/18/98

Inventor: Xiangxin Bi  
(Printed Name)

Residence: San Ramon, California

Citizenship: People Republic of  
China

P.O. Address: 677 Graylyn Drive, San Ramon, California 94583

Inventor:   
(Signature)

Date: 8/18/98

Inventor: Nobuyuki Kambe  
(Printed Name)

Residence: Menlo Park, California

Citizenship: Japan

P.O. Address: 840 Hobart Street, Menlo Park, California 94025

SECRET